

PF020109

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Francois Baron et al.  
Serial No.: 10/655,442  
Filed: September 4, 2003  
For: PROCESS AND DEVICE FOR MODULATING A CARRIER WITH  
AMPLITUDE AND PHASE ERROR COMPENSATION  
Examiner: Unknown  
Art Unit: Unknown

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

- [X]1 Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]  
[ ]2 Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]  
[ ]3 Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]  
[ ]4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)  
[before issue fee payment]

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

- [X] 5 A supplemental list indicating the document previously listed on form PTO-1449, with the English translation of said document enclosed herewith.

This paper is submitted in accordance with:

- [X] 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]  
[ ] 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and  
[ ] 8 The required certification made in item 11 below; or  
[ ] 9 The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.  
[ ] 10 37 CFR§ 1.97(d): [before issue fee payment]; and  
(a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g., "Allowed Files, Batch \_\_\_\_\_, Date of Allowance \_\_\_\_\_"), and  
(b) The required Certification is stated in item 11 below.

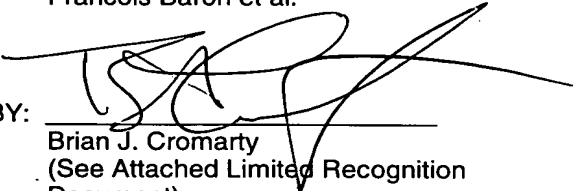
☐ 11 Certification

☐ 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

☒ 14 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully Submitted,  
Francois Baron et al.

BY:   
Brian J. Cromarty  
(See Attached Limited Recognition Document)  
(609) 734-6804

Patent Operations  
Thomson Licensing, Inc.  
PO. Box 5312,  
Princeton, NJ 08543-0028

DATE: 22 April 2004

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
**PF020109**SERIAL NO.  
**10/655,442****SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT****UNDER 37 CFR 1.97**

(Use several sheets if necessary)

APPLICANTS  
**Francois Baron et al.**FILING DATE  
**September 4, 2003**

GROUP

**U.S. PATENT DOCUMENTS**

EXAMINE INITIAL	DOCUMENT NUMBER	ISSUE DATE	APPLICANT/PATENTEE	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION Yes No
AM	19934215C1	03/2001	DE	H03C	1/52	X

**OTHER INFORMATION (Including Author, Title, Pub.Date, Pertinent Pages, Country, Etc.)**


EXAMINER

DATE CONSIDERED

SUBMITTED BY:

Brian J. Cromarty

REG. NO.: See Ltd. Recognition Document

DATE: April 21, 2004